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Date: September 14, 2006 Name: Scott A. Timmerman Signature: *Scott A. Timmerman*

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Neal Brady, et al.

Serial No: 10/726,851

Filed: December 2, 2003

For: METHOD AND SYSTEM FOR PROVIDING
OPTION SPREAD INDICATIVE QUOTES

Attorney Docket No. 4672/369

Examiner: Hamilton, L.

Group Art Unit: 3693

Mail Stop Petitions
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

SUBSTITUTE AMENDMENT IN COMPLIANCE WITH C.F.R. § 1.78

Dear Sir:

Prior to the examination of the present application, please enter the following substitute amendment in compliance with 37 C.F.R. § 1.78(a)(2)(i), 37 C.F.R. § 1.121, 37 C.F.R. § 1.52, and 37 C.F.R. § 1.4(c):